

PTO/SB/08A (07-05)

Approved for use through 07/31/2005. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	09/521,805
Filing Date	3/9/2000
First Named Inventor	Donoho, et al.
Art Unit	3626
Examiner Name	Frenel, Vanel
Attorney Docket Number	UNIV0001D6

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
V-F		US- 5,586,304	12/17/1998	Stupek, Jr. et al.	
		US- 6,996,819	2/7/2006	Alanis	
		US- 6,996,815	2/7/2006	Bourke-Dunphy, et al.	
		US- 6,971,094	11/29/2005	Ly	
		US- 6,841,453	9/6/2005	Rao	
		US- 6,922,831	7/26/2005	Kroening, et al.	
		US- 6,920,631	7/19/2005	Delo	
		US- 6,904,457	6/7/2005	Goodman	
		US- 6,836,794	12/28/2004	Lucovsky, et al.	
		US- 6,804,663	10/12/2004	Delo	
		US- 6,802,081	10/5/2004	Parthasarathy, et al.	
		US- 6,789,255	9/7/2004	Pedrizelli, et al.	
		US- 6,769,009	7/27/2004	Reisman	
		US- 6,746,224	6/8/2004	Fini	
		US- 6,736,766	5/11/2004	Chamberlain, et al.	
		US- 6,725,452	4/20/2004	Te'eni, et al.	
		US- 6,725,242	4/20/2004	Gardner	
		US- 6,658,489	12/2/2003	Assolin	
V-F		US- 6,658,464	12/2/2003	Reisman	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁵

Examiner Signature	Vanel Frenel	Date Considered	4/13/07
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Form 1449 (Modified)	Atty Docket No. UNIV0001D4	Serial No.: Not Assigned
Information Disclosure Statement By Applicant	Applicant: Donoho et al.	Group 3626
(Use Several Sheets if Necessary)	Filing Date Herewith	Not Assigned

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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
V.F	A	"Maximum Security, a Hacker's Guide to Protecting Your Internet Site and Network", Anonymous, Sams.net Publishing, (1997)
V.F	B	"Network Security: How to Plan for It and Achieve It", Richard H. Baker, McGraw-Hill, Inc. (1995)
V.F	C	"Mobile Code Security", Dr. Laurie Brown, http:// www.adfa.oz.au , (1999)
V.F	D	"E-Mail with a Mind of It's Own: The Safe-Tcl Language for Enabled Mail", Nathaniel S. Borenstein, Bellcore - for ULPA '94, Barcelona
V.F	E	"MIME: Mechanisms for Specifying and Describing the Format of Internet Message Bodies", N. Borenstein and N. Freed, Network Working Group (Sept. 1993)
V.F	F	"Agent Sourcebook, Caglayan and Harrison, Wiley Computer Publishing, (1997)
V.F	G	"Channel Definition Format" Ellerman, Microsoft Corp. (1997)
V.F	H	"Notification for Proxy Caches", Hallam-Baker, W3C Working Draft-Proxy 960221 (date unknown)
V.F	I	"Controlled Information Sharing in a Distributed Ecology of Cooperating Agents or How to Gossip Without Spilling the Beans", L. Foner, , Fifth Conference on Computers, Freedom and Privacy (1995)
V.F	J	"Introducing SSL and Certificates", F. Hirsch, www.celocom.com/faq/sslandcerts.html (1997)
V.F	K	"Digital Signature Initiative", A. Kotok, www.w3c.org/dsig/ , (1998)
V.F	L	"Rating Services and Rating Systems (and their Machine Readable Descriptions)", J. Miller et al., www.w3.org/TR/REC-PICS-services-961031 (date unknown)
V.F	M	"Java Security", S. Oaks, O'Reilly & Associates, (1998)
V.F	N	"Security in Computing", C. Pileeger, http:// www.prenhall.com (1997)
V.F	O	"Pretty Good Privacy- PGP for Personal Privacy, Version 5.0," PGP User's Guide (date unknown)
V.F	P	"Privacy and Profiling on the Web", www.w3.org/TR/NOTE-Web-privacy.html , (1997)
V.F	Q	"Lightweight Directory Access Protocol", W. Yeong et al., www.umich.edu/dirs/vcs/ldap/doc/rfc/rfc1777.txt (1995)

Examiner <u>Panel Frenel</u>	Date Considered <u>7/30/02</u>
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449 (Modified)	Atty. Docket No. UNIV0001D6	Serial No.: 09/521,805
Information Disclosure Statement By Applicant	Applicant: Donoho et al.	Group: 3626
(Use Several Sheets if Necessary)	Filing Date: March 9, 2000	

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
V.F	A	5,892,909	4/6/99	Grasso et al.	395	200.31	1/31/97

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
V.F	B	840 689		EPO				
V.F	C	062249638	8/12/94	JPO	H04L	12/54	X	

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
V.F	D	TipWorld (1999)
V.F	E	InfoBeat, 1999; New Technology Holdings LLC.
V.F	F	Palme, J. et al.; Issues when Designing Filters in Messaging Systems; April 1994; Computer Communications.
		Panel Frenel 10/14/03

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Complete if Known

Application Number	09/521,805
Filing Date	3/9/2000
First Named Inventor	Donoho, et al.
Art Unit	3626
Examiner Name	Frenel, Vanel
Attorney Docket Number	UNIV000156

(Use as many shoots as necessary)

Sheet	2	of	3
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U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² of Known			
V.F.	US	6,654,714	11/25/2003	Gentile, et al.	
	US	6,611,862	8/26/2003	Resiman	
	US	6,594,759	7/16/2003	Wang	
	US	6,594,692	7/15/2003	Reisman	
	US	6,594,369	6/13/2003	Hove, et al.	
	US	6,557,054	4/29/2003	Reisman	
	US	6,532,491	3/11/2003	Lakis, et al.	
	US	6,523,166	2/18/2003	Mishra, et al.	
	US	6,496,977	12/17/2002	Hamilton II, et al.	
	US	6,493,594	12/10/2002	Kraml	
	US	6,460,175	10/1/2002	Ferr, et al.	
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	US	6,389,589	5/14/2002	Mishra, et al.	
	V.F.	US	6,381,742	4/30/2002	Forbes, et al.
US		6,378,128	4/23/2002	Edelstein, et al.	
US		6,360,366	3/19/2002	Heath, et al.	
US		6,353,928	3/5/2002	Altberg, et al.	
US		6,353,926	3/5/2002	Parthasarathy, et al.	

[illegible]

Examiner Signature	<i>Tanel Tanel</i>	Date Considered	4/13/07
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STATEMENT BY APPLICANT**

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Sheet 3 of 3**Complete if Known**

Application Number	09/521,805
Filing Date	3/9/2000
First Named Inventor	Donoho, et al.
Art Unit	3626
Examiner Name	Frenel, Vanel
Attorney Docket Number	UNIV0001D6

Examiner Initials*	Cite No.	U.S. PATENT DOCUMENTS			
		Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
V.F.		US- 6,347,398	2/12/2002	Parthasarathy, et al.	
		US- 6,347,396	2/12/2002	Gard	
		US- 6,345,386	2/5/2002	Delo, et al.	
		US- 6,330,715	12/11/2001	Razzaghe-Ashrafi	
		US- 6,327,617	12/4/2001	Fawcett	
		US- 6,324,693	11/27/2001	Broderson, et al.	
		US- 6,324,691	11/27/2001	Gazdik	
		US- 6,321,258	11/20/2001	Stollfus, et al.	
		US- 6,289,510	9/11/2001	Nakajima	
		US- 6,256,668	7/3/2001	Silvka, et al.	
V.F.		US- 6,240,451	5/29/2001	Campbell, et al.	
		US- 6,237,144	5/22/2001	Deio	
		US- 6,181,218	12/12/2000	Taylor	
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Examiner Initials*	Cite No.	FOREIGN PATENT DOCUMENTS			
		Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner Signature	<i>Vanel Frenel</i>	Date Considered	4/13/07
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1

of 1

Complete if Known

Application Number	09/521,805
Filing Date	March 09, 2000
First Named Inventor	Donoho et al
Art Unit	3628
Examiner Name	Frenel, Vanel
Attorney Docket Number	UNIV0001D6

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
VF	A	US- 5,923,845	07-1999	Kamiya et al	
	B	US- 5,984,508	11-1999	Hurley	
	C	US- 6,047,053	04-2000	Miner et al	
	D	US- 6,078,924	06-2000	Ainsbury et al	
	E	US- 6,088,717	07-2000	Reed	
VF	F	US- 6,167,448	12-2000	Hemphill et al	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ⁴ Number ⁴ Kind Code ⁵ (if known)				
VF	a	WO 99/28837	06-1999			
	b	WO 99/28838	06-1999			
	c	WO 99/39271	08-1999			
	d	WO 99/39263	08-1999			
	e					
	f					

Examiner
Signature

Vanel Frenel

Date
Considered

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